DISADVANTAGED BUSINESS ENTERPRISE

TRANSIT AUTHORITY OF RIVER CITY (TARC)

FEDERAL FISCAL YEAR GOALS FOR 2023, 2024 & 2025

AGENDA & OBJECTIVES

DISCUSSIONS

- ❑ Disadvantaged Business Enterprise (DBE) Program – What is it?
- ❑ Federal Transit Administration DBE Goal Setting Requirements
- ❑ Contracts Projected for Award
- ❑ DBE Goal Setting – Two-Step Process
- ❑ Next Steps
- ❑ Questions/Comments

WHAT IS IT?

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM – WHAT IS IT?

Historically disadvantaged individual based on race, gender and national origin

- ✓51% owner must be disadvantaged individual
- ✓Owner must control business operations
- ✓Personal net worth less than $1.32 million
- ✓U.S. citizen or permanent legal resident

WHAT IS IT?

DISADVANTAGED BUSINESS ENTERPRISE (DBE) COMPLIANCE

FTA recipients, such as TARC, expects to award more than $250,000 in FTA funds in prime contracts (excluding transit vehicle purchases) in a Federal fiscal year are required to set a three-year goals for DBE participation for this US DOT-assisted work in accordance to 49 C.F.R. § 26.45(a)

WHAT IS IT?

DISADVANTAGED BUSINESS ENTERPRISE (DBE) PROGRAM – WHAT IS IT & WHO MUST SET THE DBE GOALS?

Three components to the Department of Transportation (DOT) DBE Requirement:

1. The DBE Program (49 CFR, Part 26.21, Subpart B)
2. The DBE Triennial Goals/Methodology (49 CFR, Part 26.45, Subpart C)
3. Federal Transit Administration Semi-Annual DBE Reports

GOAL & METHODOLOGY REQUIREMENT

DBE GOAL SUBMISSION

1. Goal Setting and Methodology Requirement
   - ✓ The 3-year submission of goal setting and its methodology is due on August 1st.
2. What does TARC need to submit to FTA?
   - ✓ Letter stating overall goal for the three Federal Fiscal Years
   - ✓ Copy of the methodology used to develop the goal (Examples: worksheet, spreadsheet, charts, etc.) Refer to Slide 11
   - ✓ Copy of published notice informing the public of the DBE goal comment period
   - ✓ Consultation and Collaboration Process/Outreach Conducted

ANTICIPATED FFY 2023, 2024, 2025 FEDERALLY-FUNDED PROJECTS & CONTRACTS

TOTAL ESTIMATED BUDGET

$34,805,131

DBE GOAL

10.04% Contract Value $3,494,435

Race Conscious 6.01% Race Neutral 4.03%

PROJECTS & CONTRACTS

PROJECTS FOR FFY 2023	BUDGET $9,904,268
1. Corridor Planning - PE Broadway BRT	9. Security Improvements - Upgrades to access control, facility surveillance
2. Broadway Maintenance Fire System R/R - Replace fire alarm & supply line	10. USTA Maintenance Improvements - Replace in-ground lifts
3. Roof Replacement - 2905 Shop - Replace failing roofing	11. USTA Critical Facility Repair - USTA end wall stabilization
4. 925 HVAC Replacement - R&R HVAC with geothermal & radiant sys	12. 2901 Waste Oil Tank Replacement - Replace aging tank in poor condition
5. 925 Solar Panel Installation - Install 45 kW PV system on roof	13. Professional Services - Marketing Communications, Legal, Finance, Human Resources, Insurances, Safety and Security
6. Cashiers Office HVAC - Replace heat pump	14. Materials and Supplies - Bus Repair and Replacement Parts, Operational Supplies and Other Indirect Supplies
7. Bus Charging Infrastructure - Procure & install BEB charging equip	15. Other Specialty Professional Services - A&E, CEI and Specialized Professional Services
8. CAD/AVL Replacement - Procure, implement, install new ITS system	

PROJECTS & CONTRACTS

PROJECTS FOR FFY 2024	BUDGET $11,139,604
1) Bus Charging Infrastructure - Finish install of BEB charging equip	8. T&O HVAC System Replacement - Complete replacement of HVAC system
2) Corridor Planning/Engineering - PE Broadway BRT	9. Professional Services - Marketing Communications, Legal, Finance, Human Resources, Insurances, Safety and Security
3) CAD/AVL Replacement - Procure, implement, install new ITS system	10. Materials and Supplies - Bus Repair and Replacement Parts, Operational Supplies and Other Indirect Supplies
4) Security Improvements - Ballistic protection, fencing, gates	11. Other Specialty Professional Services - A&E, CEI and Specialized Professional Services
5) USTA Cash Counting Room - Construct new vaulting/counting room - Barn	12. Repave USTA Lots - Repair, reseal & restripe parking lots & driveways
6) Bus Wash System R&R - Potential relocation; replace wash system	13. Telephone System Upgrade - Replacement of TARC phone system
7) Bus Wash System R&R - Replace flat roof system	

PROJECTS & CONTRACTS

PROJECTS FOR FFY 2025	BUDGET $11,341,159
1) Bus Charging Infrastructure - Additional charging equip costs	8. USTA Elevator Modernization - Upgrades to existing elevators
2) Corridor Engineering - Broadway BRT	9. USTA Metalwork Renovation - Replace, repair, repaint caps, gutters, etc
3) Baggage Building Renovation - Initial phase of building restoration	10. USTA Atrium Restoration - Restoration of plaster, paint to original
4) 2905 Shop Renovations - Resurface shop floors, plumbing, electrical	11. Professional Services - Restoration of plaster, paint to original
5) 2905 Lift Replacement - Replace in-ground lifts	12. Materials and Supplies - Bus Repair and Replacement Parts, Operational Supplies and Other Indirect Supplies
6) USTA Pedestrian Walkways - Repair paved concrete walkways & steps	13. Other Specialty Professional Services - A&E, CEI and Specialized Professional Services
7) USTA Shop Floors - Patch & recoat USTA maintenance floors	

GOAL & METHODOLOGY REQUIREMENT

HOW IS THE DBE TRIENNIAL GOAL SET?

Step One –Base Figure Development

1. Anticipated FFY 2023, 2024, 2025 federally-funded projects & contracts - Identify contractible opportunities
2. Project Estimated = $34,805,131
    1. Construction Projects - $19,860,000 (57.1%)
    2. Technical Consulting Professional Services – $11,474,109 (33.0%)
    3. Materials and Supplies - $871,199 (2.5%)
    4. Professional Services - $2,599,823 (7.5%)

GOAL & METHODOLOGY REQUIREMENT

3. Relative Availability by NAICS and Project Dollar Value
   - There are 31 potential contract for awards totaling $34,805,131
4. NAICS codes Identified for each Project Opportunities
   - 82 NAICS codes categories
5. DBE certified firms UCPs (KYTC and INDOT) – by NAICS codes
   - Total 677 DBEs – 72 NAICS codes categories - Ready, Willing and able
   - Total 0 DBEs – 10 NAICS code categories
6. All firms by NAICS codes categories (Jefferson County Business Pattern Data- US Business Census)
   - Total firms (inclusive of DBEs) 5713 by NAICS code categories

GOAL & METHODOLOGY REQUIREMENT

7. Step One Calculation FFY 2023-2025
   - DBE firms = 677
   - All firms = 5713
   - Divide DBE firms / All firms = 11.85%
8. Step Two Adjustment Calculation – Race Conscious
   - DBE Historical Participation from FFY 2019-2021 Median = 8.23%
     - Add 8.23% + 11.85% = 20.08% Divide by 2 = 10.04%
9. DBE Weighted Goal FFY 2023, 2024, 2025
10. Goal 10.04% and $3,494,435 Total Anticipated Dollars with DBE firms
    - Race Conscious of 6.01% and Race Neutral of 4.03%

NEXT STEPS – PUBLIC COMMENTS

- ❑ DBE Program Goal - RFI Announcement FFY 2023, 2024, 2025 Publish in Bonfire (June 8, 2022)
- ❑ Public Meetings (June 20 through July 22, 2022) via Zoom
- ❑ Board Action (July 26, 2022)
- ❑ TARC Program Goal Submission to FTA (August 1, 2022)
- ❑ Public Comments Closed (July 27, 2022)

THANK YOU